

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	8	Attorney Docket No.	82250X/34255-2(473628-26)
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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Declaration (executed), 2 pages <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, No. of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice of Missing Parts, 2 pages Check Nos. 6686 for \$450.00; 6687 for \$86.00 Return receipt postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

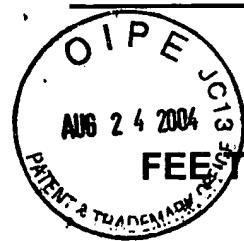
Firm or Individual name	Craig Hemenway, Reg. No. 44,759 <u>For:</u> R. Michael Ananian, Reg. No. 36,070 DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187 Telephone: 415 781 1989	Customer Number 32940
Signature		
Date	August 20, 2004	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

8/20/04

Typed or printed name	Claudia Galik		
Signature		Date	August 20, 2004

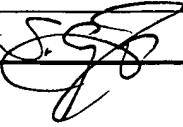


**APPLICATION
FEE TRANSMITTAL SHEET
(FY 2004)**

Complete if Known	
Application No.	10/829,399
Filing Date	April 22, 2004
First Named Inventor	Han-gyoo Kim
Group Art Unit	2153
Examiner Name	Unassigned
Atty. Docket Number	82250X/34255/US/2(473628-26)

METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)																																																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: 50-2319 Deposit Account Name: DORSEY & WHITNEY LLP</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)</p> <p>2. <input checked="" type="checkbox"/> Check Enclosed</p>			<p>3. ADDITIONAL FEES</p> <table> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>130</td> <td>65</td> <td>Surcharge - Late nonprovisional filing fee or oath</td> <td>65.00</td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of IDS</td> <td></td> </tr> <tr> <td>40</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>420</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>950</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1,480</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>2010</td> <td>1005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>770</td> <td>385</td> <td>Submission After Final 1.129</td> <td></td> </tr> <tr> <td>330</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>330</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>290</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>1,330</td> <td>665</td> <td>Utility/Reissue Issue Fee (including advance copies)</td> <td></td> </tr> <tr> <td>480</td> <td>240</td> <td>Design Issue Fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>770</td> <td>385</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for early, voluntary, or normal publication</td> <td></td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for re-publication</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>1,330</td> <td>665</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td colspan="3">*Calculation of Extra Claim Fees</td> <td colspan="3"> OTHER FEE (specify): Subtotal (3) 65.00 Total Amount of Payment: 536.00 </td> </tr> <tr> <td>Large Entity Fee</td> <td>Small Entity Fee</td> <td>Fee Description</td> <td></td> <td></td> <td></td> </tr> <tr> <td>18</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> <td></td> <td></td> </tr> <tr> <td>86</td> <td>43</td> <td>Independent claims in excess of 3</td> <td></td> <td></td> <td></td> </tr> <tr> <td>290</td> <td>145</td> <td>Multiple dependent Claim</td> <td></td> <td></td> <td></td> </tr> <tr> <td>84</td> <td>42</td> <td>Reissue independent claims over original patent</td> <td></td> <td></td> <td></td> </tr> <tr> <td>18</td> <td>9</td> <td>Reissue claims in excess of 20 and over original patent</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>			Large Entity Fee	Small Entity Fee	Fee Description	Fee paid	50	25	Surcharge - late provisional filing fee or cover sheet		130	65	Surcharge - Late nonprovisional filing fee or oath	65.00	180	180	Submission of IDS		40	40	Recording each patent assignment per property (times number of properties)		110	55	Extension for reply within first month		420	210	Extension for reply within second month		950	475	Extension for reply within third month		1,480	740	Extension for reply within fourth month		2010	1005	Extension for reply within fifth month		770	385	Submission After Final 1.129		330	165	Notice of Appeal		330	165	Filing a brief in support of an appeal		290	145	Request for oral hearing		110	55	Terminal Disclaimer Fee		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		1,330	665	Utility/Reissue Issue Fee (including advance copies)		480	240	Design Issue Fee (inc. advance copies)		770	385	Request for Continued Examination (RCE)		300	300	Publication fee for early, voluntary, or normal publication		300	300	Publication fee for re-publication		110	55	Petition to Revive - unavoidable		1,330	665	Petition to Revive - unintentional		*Calculation of Extra Claim Fees			OTHER FEE (specify): Subtotal (3) 65.00 Total Amount of Payment: 536.00			Large Entity Fee	Small Entity Fee	Fee Description				18	9	Claims in excess of 20				86	43	Independent claims in excess of 3				290	145	Multiple dependent Claim				84	42	Reissue independent claims over original patent				18	9	Reissue claims in excess of 20 and over original patent			
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Submitted by:

Name: S. Craig Hemenway For: R. Michael Ananian	Reg. No.: 44,759 Reg. No. 36,070	Telephone: 303-629-3450
DORSEY & WHITNEY LLP	Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187	Customer Number 32940
Signature: 		Date: August 20, 2004



PATENT

Attorney Docket No. 82250X/34255-2/(4736528-26)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) Examiner: Not Assigned
)
 HAN-GYOO KIM) Group Art Unit: 2153
)
 Serial No.: 10/829,399)
)
 Filed: April 22, 2004)
)
 For: Scalable Server Architecture Based)
 On Asymmetric 3-Way TCP)
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 20, 2004.

Signed: Claudia Galik
Claudia Galik

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION
FILED UNDER 37 CFR 1.53(b)**
Filing Date Granted

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed June 30, 2004, enclosed are the following documents:

- (1) A copy of the Notice to File Missing Parts of Application;
- (2) Declaration and Power of Attorney for Patent Application;
- (3) Checks in the amount of \$450.00 and \$86.00 to cover the filing fee, extra claims and

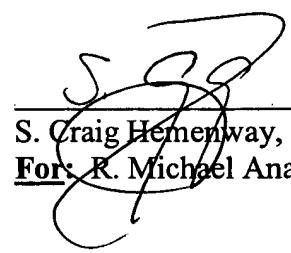
surcharge for filing the Declaration and paying the filing fee on a date later than the filing date;

(4) Return receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, including extension fees, or credit any overpayment to Deposit Account No. 50-2319 (Order No. a-34255-2/RMA(473628-26)).

Respectfully submitted,

DORSEY & WHITNEY LLP



S. Craig Hemenway, Reg. No. 44,759
For: R. Michael Ananian, Reg. No. 36,070

Customer No. 32940
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111-4187
Telephone: (415) 781-1989

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/829,399	04/22/2004	Han-gyoo Kim	82250X



Harold L. Novick
NATH & ASSOCIATES PLLC
6th Floor
1030 15th Street, N.W.
Washington, DC 20005

CONFIRMATION NO. 5407

FORMALITIES LETTER



OC000000013098831

Date Mailed: 06/30/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$86** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$536** for a Small Entity

• \$385 Statutory basic filing fee.	08/25/2004 BSAYASI1 00000018 10829399
• \$65 Late oath or declaration Surcharge.	01 FC:2001 385.00 0P
	02 FC:2051 65.00 0P
• Total additional claim fee(s) for this application is \$86	03 FC:2201 86.00 0P

- \$86 for 2 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

u

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE